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Assistant Commissioner for Patents Washington, D.C. 20231

on 11/23/98

By: Sherry Barton

PATENT

Attorney Docket No.: 023070-086720US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Paul A. Price, et al.

Application No.: 09/164,862

Filed: October 1, 1998

For: **YKL-40 AS A MARKER AND PROGNOSTIC  
INDICATOR FOR CANCERS**

Examiner: Unassigned

Art Unit: 1616

REQUEST FOR CORRECTED FILING  
RECEIPT

Assistant Commissioner for Patents  
Washington, D.C. 20231

ATTN: Customer Correction Branch  
Application Processing Division

Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

There is an error in that the title was inadvertently entered as YKL-4-AS A MARKER AND PROGNOSTIC INDICATOR FOR CANCERS. The correct title is **YKL-40 AS A MARKER AND PROGNOSTIC INDICATOR FOR CANCERS**. A copy of the filing receipt with the correction noted in red is attached together with a copy of the transmittal letter with the title highlighted.

The correction is not due to any error by applicant and no fee is due. Please charge any additional fees or credit overpayment to the Deposit Account No. 20-1430. This Petition is submitted in triplicate.

Respectfully submitted,



Tom Hunter  
Reg. No. 38,498

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, California 94111-3834  
Tel: (415) 576-0200  
Fax: (415) 576-0300  
TAH/sb

FILING RECEIPT



02300-08672045

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/164,862	10/01/98	1616	\$0.00	023070-08672	12	62	8

020350

TOWNSEND AND TOWNSEND AND CREW  
TWO EMBARCADERO CENTER EIGHTH FLOOR  
SAN FRANCISCO CA 94111

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

PAUL A. PRICE, LA JOLLA, CA; JULIA S. JOHANSEN,  
FREDERIKSBURG, DENMARK.

FOREIGN FILING LICENSE GRANTED 10/21/98

TITLE

YKL-4 AS A MARKER AND PROGNOSTIC INDICATOR FOR CANCERS  
40

PRELIMINARY CLASS: 424

TOWNSEND & TOWNSEND  
& CREW  
98 OCT 27 AM 8:26  
RECEIVED

## Patent Application

Attorney Docket No. 23070-086720

Customer No. 20350

Townsend and Townsend and Crew LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, CA 94111-3834



Client Ref No. 98-298-1

Express Mail Label No. EL008720887US

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above addressed to:

Box Patent Application  
Assistant Commissioner for Patents  
Washington D.C., 2023

By

EL008720887US

Sir:

Transmitted herewith for filing under 37 CFR §1.53(b) is the  
☒ patent application, ☐ continuation patent application,  
☐ divisional patent application or ☐ continuation-in-part patent  
 application of \_\_\_\_\_.

**Inventor(s)/Applicant Identifier:** Paul A. Price and Julia S. Johansen

For: YKL-40 as a Marker and Prognostic Indicator for Cancers

[ ] This application claims priority from each of the following Application Nos./filing dates: \_\_\_\_\_, the disclosure(s) of which is (are) incorporated by reference.

disclosure(s) of which is (are) incorporated by reference.  
☐ Please amend this application by adding the following before the first sentence: --This application is a ☐ continuation  
☐ division of and claims the benefit of U.S. Application No. \_\_\_\_\_, filed \_\_\_\_\_, the disclosure of which is incorporated  
 by reference.--

Enclosed are:

Enclosed are:  
☒ 12 sheet(s) of ☐ formal ☒ informal drawing(s) and 88 pages of specification including description, claims and abstract.

[X] A [] signed [X] unsigned Declaration.

☐ A Power of Attorney by Assignee with Certificate Under 37 C.F.R. Section 3.73(b).

[ ] A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27 [ ] is enclosed [ ] was filed in the prior

☒ 6 page(s) of Sequence Listing

Information Disclosure Statement under 37 CFR 1.97.

Notification of change of ☐ power of attorney ☐ correspondence address filed in prior application.

☐ Please cancel claim(s) \_\_\_\_\_

\*If the difference in Col. 1 is less than zero, enter "0" in col. 2.

**Pursuant to 37 CFR §1.53(f), Applicants request deferrral of the filing fee until submission of the Missing Parts of Application.**

**DO NOT CHARGE THE FILING FEE AT THIS TIME.**

Respectfully submitted,  
Townsend and Townsend and Crew LLP

Tom Hunter

Tom Hunter  
Reg. No.: 38,498  
Attorneys for Applicant

0 extra copies of this sheet are enclosed.

Telephone:  
415/576-0200

Facsimile:  
415/576-0300

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